Chapter 3

The Greeks: From Myth to Reason

- Early Aegean Civilizations
- Evolution of the City-States
- The Decline of the City-States
- Philosophy in the Hellenic Age
- Art
- Poetry and Drama
- History
- The Hellenistic Age: The Second Stage of Greek Civilization
- Hellenistic Thought and Culture
- The Greek Achievement: Reason, Freedom, Humanism

Focus Questions

1. What were the basic features and limitations of Greek democracy?
2. How did Greek political life demonstrate both the best and the worst features of freedom as well as both the capabilities and limitations of reason?
3. Why is the Greek political experience crucial to the shaping of the Western tradition?
4. How did the Pre-Socratic thinkers make the transition from myth to reason?
5. How did the Sophists and Socrates advance the tradition of reason and humanism?
6. What do Plato and Aristotle have in common? How do they differ?
7. How did Greek drama, art, and historiography contribute to the tradition of reason and humanism?
8. What are the basic differences between the Hellenic and Hellenistic Ages?
9. What prescription did each of the Hellenistic philosophies offer for achieving happiness?
10. What is the enduring significance of Stoicism for the modern world?

The Hebrew conception of ethical monotheism, with its stress on human dignity, is one principal source of the Western tradition. The second major source is ancient Greece. Both Hebrews and Greeks absorbed the achievements of Near Eastern civilizations, but they also developed their own distinctive viewpoints and styles of thought, which set them apart from the Mesopotamians and Egyptians. The great achievements of the Hebrews lay in the sphere of religious-ethical thought; those of the Greeks lay in the development of philosophical and scientific thought.

The Greeks conceived of nature as following general rules, not acting according to the whims of gods or demons. They saw human beings as having a capacity for rational thought, a need for freedom, and a worth as individuals. Although the Greeks never dispensed with the gods, they increasingly stressed the importance of human reason and human decisions; they came to assert that reason is the avenue to knowledge and that people—not the
The Greeks

1700–1450 B.C. * Height of Minoan civilization
1400–1230 Height of Mycenaean civilization
1100–800 Dark Age
c. 700 Homer
750–550 Age of Colonization
594 Solon is given power to institute reforms
507 Cleisthenes broadens democratic institutions
480 Xerxes of Persia invades Greece; Greek naval victory at Salamis
479 Spartans defeat Persians at Plataea, ending Persian Wars
431 Start of Peloponnesian War
404 Athens surrenders to Sparta, ending Peloponnesian War
387 Plato founds a school, the Academy
359 Philip II becomes king of Macedonia
338 Battle of Chaeronea: Greek city-states fall under dominion of Macedonia
335 Aristotle founds a school, the Lyceum
323 B.C. Death of Alexander the Great

* Most dates are approximations.

The rational humanist outlook that is a distinctive feature of Western civilization.

Early Aegean Civilizations

Until the latter part of the nineteenth century, historians placed the beginning of Greek (or Hellenic) history in the eighth century B.C. Now it is known that two civilizations preceded Hellenic Greece: the Minoan and the Mycenaean. Although the ancient Greek poet Homer had spoken of an earlier Greek civilization in his works, historians had believed that Homer’s epics dealt solely with myths and legends, not with a historical past. In 1871, however, a successful German businessman, Heinrich Schliemann, began a search for earliest Greece. In excavating several sites mentioned by Homer, Schliemann discovered tombs, pottery, ornaments, and the remains of palaces of what hitherto had been a lost Greek civilization. The ancient civilization was named after Mycenae, the most important city of the time.

In 1900, Arthur Evans, a British archaeologist, excavating on the island of Crete, southeast of the Greek mainland, unearthed a civilization even older than that of the Mycenaean Greeks. The Cretans, or Minoans, were not Greeks and did not speak a Greek language, but their influence on mainland Greece was considerable and enduring. Minoan civilization lasted about 1,350 years (2600–1250 B.C.) and reached its height during the period from 1700 to 1450 B.C.

The centers of Minoan civilization were magnificent palace complexes, whose construction attested to the wealth and power of Minoan kings. The palaces housed royal families, priests, and government officials and contained workshops that produced decorated silver vessels, daggers, and pottery for local use and for export.
Judging by the archaeological evidence, the Minoans were peaceful. Minoan art generally did not depict military scenes, and Minoan palaces, unlike the Mycenaean ones, had no defensive walls or fortifications. Thus, the Minoans were vulnerable to the warlike Mycenaean Greeks, whose invasion contributed to the decline of Minoan civilization.

Who were these Mycenaeans? Around 2000 B.C., Greek-speaking tribes moved southward into the Greek peninsula, where, together with the pre-Greek population, they fashioned the Mycenaean civilization. In the Peloponnese, in southern Greece, the Mycenaeans built palaces that were based in part on Cretan models. In these palaces, Mycenaean kings conducted affairs of state, and priests and priestesses performed religious ceremonies. Potters, smiths, tailors, and chariot builders practiced their crafts in the numerous workshops, much like their Minoan counterparts. Mycenaean arts and crafts owed a considerable debt to Crete. A script that permitted record keeping probably also came from Crete.

Mycenaean civilization, which consisted of several small states, each with its own ruling dynasty, reached its height in the period from 1400 to 1230 B.C. Following that, constant warfare among the Mycenaean kingdoms (and perhaps foreign invasions) led to the destruction of the palaces and the abrupt disintegration of Mycenaean civilization about 1100 B.C. But to the later Greek civilization, the Mycenaeans left a legacy of religious forms, pottery making, metallurgy, agriculture, language, a warrior culture and code of honor immortalized in the Homeric epics, and myths and legends that offered themes for Greek drama.

**Evolution of the City-States**

From 1100 to 800 B.C., the Greek world passed through the Dark Age, an era of transition between a dead Mycenaean civilization and a still unborn Hellenic civilization. The Dark Age saw the migration of Greek tribes from the barren mountainous regions of Greece to more fertile plains, and from the mainland to Aegean islands and the coast of Asia Minor. During this period, the Greeks experienced insecurity, warfare, poverty, and isolation.

After 800 B.C., however, town life revived. Writing again became part of the Greek culture, this time with the more efficient Phoenician script. (Other borrowings from the Near East included artistic imagery and motifs, religious practices, craft skills, and mythological tales that were adapted and transformed by Greek poets.) The population increased dramatically, there was a spectacular rise in the use of metals, and overseas trade expanded. Gradually, Greek cities founded settlements on the islands of the Aegean, along the coast of Asia Minor and the Black Sea, and to the west in Sicily and southern Italy. These colonies, established to relieve overpopulation and land hunger, were independent, self-governing city-states, not possessions of the homeland city-states. During these two hundred years of colonization (750–550 B.C.), trade and industry expanded and the pace of urbanization quickened.

**Homer: Shaper of the Greek Spirit**

The poet Homer lived during the eighth century B.C., just after the Dark Age. His great epics, the *Iliad* and the *Odyssey*, helped shape the Greek spirit and Greek religion. Homer was the earliest molder of the Greek outlook and character. For centuries, Greek youngsters grew up reciting the Homeric epics and admiring the Homeric heroes, who strove for honor and faced suffering and death with courage.

Homer was a poetic genius who could reveal a human being’s deepest thoughts, feelings, and conflicts in a few brilliant lines. His characters, complex in their motives and expressing powerful human emotions—wrath, vengeance, guilt, remorse, compassion, and love—would intrigue and inspire Western writers to the present day.

The *Iliad* deals in poetic form with a small segment of the last year of the Trojan War, which had taken place centuries before Homer’s time, during the Mycenaean period. Homer’s theme is the wrath of Achilles. In depriving “the swift and excellent” Achilles of his rightful war prize (the captive young woman Briseis), King Agamemnon has insulted Achilles’ honor and has violated the solemn rule that warrior heroes treat each other with respect. His pride wounded, Achilles refuses to rejoin Agamemnon in battle against Troy. Achilles plans to affirm his honor by demonstrating that the Greeks need his valor and military prowess. Not until many
brave men have been slain, including his dearest friend Patroclus, does Achilles set aside his quarrel with Agamemnon and enter the battle.

Homer employs a particular event, the quarrel between an arrogant Agamemnon and a revengeful Achilles, to demonstrate a universal principle: that “wicked arrogance” and “ruinous wrath” will cause much suffering and death. Homer grasps that there is an internal logic to existence. For Homer, says British classicist H. D. F. Kitto, “actions must have their consequences; ill-judged actions must have uncomfortable results.”1 People, and even the gods, operate within a certain unalterable framework; their deeds are subject to the demands of fate, or necessity. With a poet’s insight, Homer sensed what would become a fundamental attitude of the Greek mind: there is a universal order to things. Later Greeks would formulate Homer’s poetic insight in scientific and philosophical terms.

Heroism, the pursuit of glory and fame, and war’s exhilaration are central to the Iliad, but Homer is also sensitive to the suffering caused by war. Battlefields littered with dead and maimed warriors fill soldiers with tears. And the grief of widows, orphans, and parents is unremitting.

Homer grasped war’s tragic character: it confers honor and dignity on the victorious, but suffering, grief, enslavement, and death on the defeated. And one day, the hero, who had been lauded for his courage and prowess and had brought glory to his family and city, will also perish by the sword. This is his destiny. Homer’s insights into life’s tragic nature instructed the great Greek dramatists (see page 60) and future Western writers.

In Homer, we also see the origin of the Greek ideal of ἀρετή, excellence. The Homeric warrior expresses a passionate desire to assert himself, to demonstrate his worth, to gain the glory that poets would immortalize in their songs. In the warrior-aristocrat world of Homer, excellence was principally interpreted as bravery and skill in battle. Homer’s portrayal also bears the embryo of a larger conception of human excellence, one that combines thought with action. A man of true worth, says the wise Phoenix to the stubborn Achilles, is both “a speaker of words and a doer of deeds.” In this passage, we find the earliest statement of the Greek educational ideal: the molding of a man who, says classicist Werner Jaeger, “united nobility of action with nobility of mind,” who realized “the whole of human potentialities.”2 Thus, in Homer we find the beginnings of Greek humanism—a concern with man and his achievements.

Essentially, Homer’s works are an expression of the poetic imagination and mythical thought. However, his view of the eternal order of the world and his conception of the individual striving for excellence form the foundations of the Greek outlook.

Although Homer did not intend his poetry to have any theological significance, his treatment of the gods had important religious implications for the Greeks. In time, his epics formed the basis of the Olympian religion accepted throughout Greece. The principal gods were said to reside on Mount Olympus, and on its highest peak was the palace of Zeus, the chief deity. Religion pervaded daily life, but in time, traditional religion was challenged and undermined by a growing secular and rational spirit.

The Break with Theocratic Politics

From 750 B.C. to the death of Alexander the Great in 323 B.C., Greek society comprised many independent city-states. The city-state based on tribal allegiances was generally the first political association during the early stages of civilization. Moreover, Greece’s many mountains, bays, and islands—natural barriers to political unity—favored this type of political arrangement.

The scale of the city-state, or polis, was small; most city-states had fewer than 5,000 male citizens. Athens, which was a large city-state, had some 35,000 to 40,000 adult male citizens at its height in the fifth century B.C.; the rest of its population of 350,000 consisted of women, children, resident aliens, and slaves, none of whom could participate in lawmaking. The polis gave individuals a sense of belonging, for its citizens were intimately involved in the political and cultural life of the community.

In the fifth century B.C., at its maturity, the Greeks viewed their polis as the only avenue to the good life—“the only framework within which man could realize his spiritual, moral, and intellectual capacities,” in Kitto’s words.3 The mature polis was a self-governing community that expressed the will of free citizens, not the desires of gods, hereditary kings, or priests. In the Near
East, religion dominated political activity, and to abide by the mandates of the gods was the ruler’s first responsibility. The Greek polis also had begun as a religious institution, in which the citizens sought to maintain an alliance with their deities. Gradually, however, the citizens de-emphasized the gods’ role in political life and based government not on the magic powers of divine rulers, but on human intelligence as expressed through the community. The great innovation that the Greeks introduced into politics and social theory was the principle that law did not derive from gods or divine kings, but from the human community. Seers, purported to have supernatural skills, might offer advice but could not override the rulings of the Assembly.

The emergence of rational attitudes did not, of course, spell the end of religion, particularly for the peasants, who retained their devotion to their ancient cults, gods, and shrines. Paying homage to the god of the city remained a required act of patriotism, to which Greeks unfailingly adhered. Thus, the religious-mythical tradition never died in Greece but existed side by side with a growing rationalism, becoming weaker as time passed. When Athenian democracy reached its height in the middle of the fifth century B.C., religion was no longer the dominant factor in politics. Athenians had consciously come to rely on human reason, not divine guidance, in their political and intellectual life.

What made Greek political life different from that of earlier Near Eastern civilizations, and also gave it enduring significance, was the Greeks’ gradual realization that community problems are caused by human beings and require human solutions. The Greeks also valued free citizenship. An absolute king, a despot, who ruled arbitrarily and by decree and who was above the law, was abhorrent to them.

The ideals of political freedom are best exemplified by Athens. But before turning to Athens, let us examine another Greek city, which followed a different political course.

### Athens: The Rise of Democracy

The contrast between the city-states of Athens and Sparta is striking. Whereas Sparta was a land power and exclusively agricultural, Athens, which was located on the peninsula of Attica near the coast, possessed a great navy and was the commercial leader among the Greeks. To the Spartans, freedom meant preserving the independence of their fatherland; this overriding consideration demanded order, discipline, and regimentation. The Athenians also were determined to protect their city from enemies, but, unlike the Spartans, they valued political freedom and sought the full development and enrichment of the human personality. Thus, while authoritarian and militaristic Sparta turned culturally sterile, the relatively free and open society of Athens became the cultural leader of Hellenic civilization.
Greek city-states generally moved through four stages: rule by a king (monarchy), rule by landowning aristocrats (oligarchy), rule by one man who seized power (tyranny), and rule by the people (democracy). During the first stage, monarchy, the king, who derived his power from the gods, commanded the army and judged civil cases.

Oligarchy, the second stage, was instituted in Athens during the eighth century B.C. when aristocrats (aristocracy is a Greek word meaning “rule of the best”) usurped power from hereditary kings. In the next century, aristocratic regimes experienced a social crisis. Peasants who borrowed from the aristocracy, pledging their lands as security, lost their property and even became enslaved for nonpayment of their debts. Merchants and peasants also protested that the law, which was based on oral tradition and administered exclusively by aristocrats, was unjust. In Athens, the embittered and restless middle and lower classes were granted one concession. In 621 B.C., the aristocrats appointed Draco to draw up a code of law. Although Draco’s code let the poor know what the law was and reduced the possibility that aristocratic judges would behave arbitrarily, penalties were extremely severe, and the code provided no relief for the peasants’ economic woes. As the poor began to organize and press for the cancellation of their debts and the redistribution of land, Athens was moving toward civil war.

**Solon, the Reformer** In 594 B.C., Solon (c. 640–559 B.C.), a traveler and poet with a reputation for wisdom, was elected chief executive. He maintained
that the wealthy landowners, through their greed, had disrupted community life and brought Athens to the brink of civil war. Solon initiated a rational approach to the problems of society by de-emphasizing the gods’ role in human affairs and attributing the city’s ills to the specific behavior of individuals; he sought practical remedies for these ills; and he held that written law should be in harmony with Diké, the principle of justice that underlies the human community. At the same time, he wanted to instill in Athenians of all classes a sense of working for the common good of the city.

Solon aimed at restoring a sick Athenian society to health by restraining the nobles and improving the lot of the poor. To achieve this goal, he canceled debts, freed Athenians enslaved for debt, and brought back to Athens those who had been sold abroad; however, he refused to confiscate and redistribute the nobles’ land as the extremists demanded. He permitted all classes of free men, even the poorest, to sit in the Assembly, which elected magistrates and accepted or rejected legislation proposed by a new Council of Four Hundred. He also opened the highest offices in the state to wealthy commoners, who had previously been excluded from these positions because they lacked noble birth. Thus, Solon undermined the traditional rights of the hereditary aristocracy and initiated the transformation of Athens from an aristocratic oligarchy into a democracy.

Solon also instituted ingenious economic reforms. Recognizing that the poor soil of Attica was not conducive to growing grain, he urged the cultivation of grapes for wine and the growing of

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**Map 3.1 The Aegean Basin** This map shows major battle sites. Note also the Hellespont where Xerxes’ forces crossed into Greece, and Ionia, the coast of Asia Minor, where Greek philosophy was born.
olives, whose oil could be exported. To encourage
industrial expansion, he ordered that all fathers
teach their sons a trade and granted citizenship to
foreign craftsmen who were willing to migrate to
Athens. These measures and the fine quality of the
native reddish-brown clay allowed Athens to be-
come the leading producer and exporter of pot-
ttery. Solon’s economic policies transformed Athens
into a great commercial center. However, Solon’s
reforms did not eliminate factional disputes among
the aristocratic clans or relieve much of the dis-
content of the poor.

Pisistratus, the Tyrant In 546 B.C., Pisistratus
(c. 605–527 B.C.), an aristocrat, took advantage of
the general instability to become a one-man ruler,
driving into exile those who had opposed him.
Tyranny thus replaced oligarchy. Tyranny occurred
frequently in the Greek city-states. Almost always
aristocrats themselves, tyrants generally posed as
champions of the poor in their struggle against the
aristocracy. Pisistratus sought popular support by
having conduits constructed to increase the Athen-
ian water supply; like tyrants in other city-states,
he gave to peasants land confiscated from exiled aris-
tocrats and granted state loans to small farmers.
Pisistratus’ great achievement was the promo-
tion of cultural life. He initiated grand architec-
tural projects, encouraged sculptors and painters,
arranged for public recitals of the Homeric epics,
and founded festivals, which included dramatic
performances. In all these ways, he made culture,
formerly the province of the aristocracy, available
to commoners. Pisistratus thus launched a policy
that eventually led Athens to emerge as the cul-
tural capital of the Greeks.

Cleisthenes, the Democrat Shortly after Pisis-
tratus’ death, a faction headed by Cleisthenes, an
aristocrat sympathetic to democracy, assumed lead-
ership. By an ingenious method of redistricting the
city, Cleisthenes ended the aristocratic clans’ tradi-
tional jockeying for the chief state positions, which
had caused much divisiveness and bitterness in
Athens. Cleisthenes replaced this practice, rooted
in tradition and authority, with a new system, ra-
tionally planned to ensure that historic allegiance
to tribe or clan would be superseded by loyalty to
the city as a whole.

Cleisthenes hoped to make democracy the per-
manent form of government for Athens. To safe-
guard the city against tyranny, he utilized (or
perhaps introduced) the practice of ostracism. Once
a year, Athenians were given the opportunity to
inscribe on a fragment of pottery (ostracon) the
name of anyone who, they felt, endangered the
state. An individual against whom enough votes
were cast was ostracized, that is, forced to leave
Athens for ten years.

Cleisthenes firmly secured democratic govern-
ment in Athens. The Assembly, which Solon had
opened to all male citizens, was in the process of
becoming the supreme authority in the state. But
the period of Athenian greatness lay in the future; the Athenians first had to fight a war of survival against the Persian Empire.

The Persian Wars

In 499 B.C., the Ionian Greeks of Asia Minor rebelled against their Persian overlord. Sympathetic to the Ionian cause, Athens sent twenty ships to aid the revolt. Bent on revenge, Darius I, king of Persia, sent a small detachment to Attica. In 490 B.C., on the plains of Marathon, the citizen army of Athens defeated the Persians—for the Athenians, one of the finest moments in their history. Ten years later, Xerxes, Darius’ son, organized a huge invasion force—some 250,000 men and more than 500 ships—with the aim of reducing Greece to a Persian province. Setting aside their separatist instincts, many of the city-states united to defend their independence and their liberty. The historian Herodotus viewed the conflict as an ideological clash between Greek freedom and oriental despotism.

The Persians crossed the waters of the Hellespont (Dardanelles) and made their way into northern Greece. Herodotus describes their encounter at the mountain pass of Thermopylae with three hundred Spartans, who, true to their training and ideal of arête, “resisted to the last with their swords if they had them, and if not, with their hands and teeth, until the Persians, coming on from the front over the ruins of the wall and closing in from behind, finally overwhelmed them.”4 Northern Greece fell to the Persians, who continued south, burning a deserted Athens.

When it appeared that the Greeks’ spirit had been broken, the Athenian statesman and general Themistocles (c. 527–460 B.C.), demonstrating in military affairs the same rationality that Cleisthenes had shown in political life, lured the Persian fleet into the narrows of the Bay of Salamis. Unable to deploy its more numerous ships in this cramped space, the Persian armada was destroyed by Greek ships. In 479 B.C., a year after the Athenian naval victory at Salamis, the Spartans defeated the Persians in the land battle of Plataea. The inventive intelligence with which the Greeks had planned their military operations and a fierce desire to preserve their freedom—which, the war made them realize, was their distinguishing attribute—had enabled them to defeat the greatest military power the Mediterranean world had yet seen.

The Persian Wars were decisive in the history of the West. The confidence and pride that came with its astonishing victory propelled Athens into a golden age, whose achievements were pivotal in the shaping of European culture. But the conflict also roused the Athenian urge for dominance in Greece. The Persian Wars ushered in an era of Athenian imperialism, which had drastic consequences for the future. Immediately after the wars, more than 150 city-states organized a confederation, the Delian League (named after its treasury on the island of Delos), to protect themselves against a renewed confrontation with Persia. Because of its wealth, its powerful fleet, and the restless energy of its citizens, Athens assumed leadership of the Delian League. Athenians consciously and rapaciously manipulated the league for their own economic advantage, seeing no contradiction between imperialism and democracy. Athens forbade member states to withdraw, stationed garrisons on the territory of confederate states, and used the league’s treasury to finance public works in Athens. Although member states did receive protection from both pirates and Persians, were not overtaxed, and enjoyed increased trade, they resented Athenian domination. As the Persian threat subsided, hatred for Athenian imperialism grew. In converting the Delian League into an instrument of Athenian imperialism, Athens may have lost an opportunity to perform a great creative act—the creation of a broad voluntary confederation which might have forestalled the intercity warfare that gravely weakened Hellenic civilization.

The Mature Athenian Democracy

Athenian imperialism was one consequence of the Persian Wars; another was the flowering of Athenian democracy and culture. The Athenian state was a direct democracy, in which the citizens themselves, not elected representatives, made the laws. In the Assembly, which was open to all adult male citizens and which met some forty times a year, Athenians debated and voted on key issues of state: they declared war, signed treaties, and spent public funds. The lowliest cobbler, as well as the wealthiest aristocrat, had the opportunity to express his opinion in the Assembly, to vote, to speak
before and submit motions to the Assembly, to hold the highest public positions, and to receive equal treatment before the law. By the middle of the fifth century, the will of the people, as expressed in the Assembly, was supreme.

The Council of Five Hundred (which had been established by Cleisthenes to replace Solon’s Council of Four Hundred) managed the ports, military installations, and other state properties and prepared the agenda for the Assembly. Because its members were chosen annually by lot and could not serve more than twice in a lifetime, the Council could never supersede the Assembly. Chosen at random, its membership could not become a cabal of the most powerful and ambitious citizens. Some 350 magistrates, also chosen by lot, performed administrative tasks: collecting fines, policing the city, repairing streets, inspecting markets, and so forth. In view of the special competence that their posts required, the ten generals who led the army were not chosen by lot but were elected by the Assembly.

Athens has been aptly described as a government of amateurs: there were no professional civil servants, no professional soldiers and sailors, no state judges, and no elected lawmakers. Ordinary citizens performed the duties of government. Such a system rested on the assumption that the average citizen was capable of participating intelligently in the affairs of state and that he would, in a spirit of civic patriotism, carry out his responsibilities to his city. In Athens of the fifth century B.C., excellence was equated with good citizenship—a concern for the good of the community that outweights personal aspirations. Indeed, to a surprisingly large number of Athenians, politics was an overriding concern, and they devoted considerable time and thought to civic affairs. Those who allowed private matters to take precedence over the needs of the community were denounced as useless people living purposeless lives.

Athenian democracy achieved its height in the middle of the fifth century B.C. under the leadership of Pericles (c. 495–429 B.C.), a gifted statesman, orator, and military commander. In the opening stage of the monumental clash with Sparta, the Peloponnesian War (431–404 B.C.), Pericles delivered an oration in honor of the Athenian war casualties. The oration, as reported by Thucydides, the great Athenian historian of the fifth century B.C., contains a glowing description of the Athenian democratic ideal:

> We are called a democracy, for the administration is in the hands of the many and not of the few. But while the law secures equal justice to all alike in their private disputes, the claim of excellence is also recognized; and when a citizen is any way distinguished, he is [selected for] public service . . . as the reward of merit. Neither is poverty a bar, but a man may benefit his country whatever may be the obscurity of his condition. . . . There is no exclusiveness in our public life, and in our private intercourse we are not suspicious of one another, nor angry with our neighbor if he does what he likes; we do not put on sour looks at him which though harmless are unpleasant. . . . [A] spirit of reverence pervades our public acts; we are prevented from doing wrong by respect for authority and for the laws. . . .

Athenian democracy undoubtedly had its limitations and weaknesses. Modern critics point out that resident aliens were almost totally barred from citizenship and therefore from political participation. Slaves, who constituted about one-fourth of the Athenian population, enjoyed none of the freedoms that Athenians considered so precious. The Greeks regarded slavery as a necessary precondition for civilized life; for some to be free and prosperous, they believed, others had to be enslaved. Slaves were generally prisoners of war or captives of pirates. In Athens, some slaves were Greeks, but most were foreigners. Slaves usually did the same work as Athenian citizens: farming, commerce, manufacturing, and domestic chores. However, those slaves, including preadolescent children, who toiled in the mines suffered a grim fate.

Athenian women were another group denied legal or political rights. Most Greeks, no doubt, agreed with Aristotle, who said: “The male is by nature superior, and the female inferior, and . . . the one rules and the other is ruled.” A girl usually was married at fourteen, to a man twice her age, and the marriage was arranged by a male relative. The wedding day might be the first time that the young bride saw her future husband. Although either spouse could obtain a divorce, the children remained with
the father after the marriage was dissolved. Wives did not dine with their husbands and spent much of their time in the women’s quarters.

Athenian women were barred from holding public office and generally could not appear in court without a male representative. They could not act in plays, and, when they attended the theater, they sat in the rear, away from the men. Greek women received no formal education, although some young women learned to read and write at home. Training in household skills was considered the only education a woman needed. Since it was believed that a woman could not act independently, she was required to have a guardian—normally her father or her husband—who controlled her property and supervised her behavior. Convinced that financial dealings were too difficult for women and that they needed to be protected from strangers, men, not women, did the marketing. When a woman left the house, she was usually accompanied by a male. The Athenian wife was treated as a minor; in effect, she was her husband’s ward.

The flaws in Athenian democracy should not cause us to undervalue its extraordinary achievement. The idea that the state represents a community of free, self-governing citizens remains a crucial principle of Western civilization. Athenian democracy embodied the principle of the legal state—a government based not on force, but on laws debated, devised, altered, and obeyed by free citizens. This idea of the legal state could have arisen only in a society that was aware of and respected the rational mind. Just as the Greeks demythicized nature, so too they removed myth from the sphere of politics. Holding that government was something that people create to satisfy human needs, the Athenians regarded their leaders neither as gods nor as priests, but as men who had demonstrated a capacity for statesmanship.

Both democratic politics and systematic political thought originated in Greece. There, people first asked questions about the nature and purpose of the state, rationally analyzed political institutions, speculated about human nature and justice, and discussed the merits of various forms of government. It is to Greece that we ultimately trace the idea of democracy and all that accompanies it: citizenship, constitutions, equality before the law, government by law, reasoned debate, respect for the individual, and confidence in human intelligence.

THE DECLINE OF THE CITY-STATES

Although the Greeks shared a common language and culture, they remained divided politically. A determination to preserve city-state sovereignty prevented the Greeks from forming a larger political grouping, which might have prevented the intercity warfare that ultimately cost the city-state its vitality and independence. But the creation of a Pan-Hellenic union would have required a radical transformation of the Greek character, which for hundreds of years had regarded the independent city-state as the only suitable political system.

The Peloponnesian War

Athenian control of the Delian League frightened the Spartans and their allies in the Peloponnesian League. Sparta and the Peloponnesian states decided on war because they saw a dynamic and imperialistic Athens as a threat to their independence. At stake for Athens was control over the Delian League, which gave Athens political power and contributed to its economic prosperity. Neither Athens nor Sparta anticipated the catastrophic consequences that the war would have for Greek civilization.

The war began in 431 B.C. and ended in 404 B.C. When a besieged Athens, with a decimated navy and a dwindling food supply, surrendered, Sparta dissolved the Delian League, left Athens with only a handful of ships, and forced the city to pull down its long walls—ramparts designed to protect it against siege weapons.

The Peloponnesian War shattered the spiritual foundations of Hellenic society. During its course, men became brutalized—cities were sacked and captives murdered—selfish individualism triumphed over civic duty, moderation gave way to extremism, and in several cities, including Athens, politics degenerated into civil war between oligarchs and democrats. Oligarchs, generally from the wealthier segments of Athenian society, wanted to concentrate power in their own hands by depriving the lower classes of political rights. Democrats, generally from the poorer segment of society, sought to preserve the political rights of all adult male citizens. Strife between oligarchs and democrats was quite common in the Greek city-states even before the Peloponnesian War.
The Fourth Century

The Peloponnesian War was the great crisis of Hellenic history. The city-states never fully recovered from their self-inflicted spiritual wounds. The civic loyalty and confidence that had marked the fifth century waned, and the fourth century was dominated by a new mentality that the leaders of the Age of Pericles would have abhorred. A concern for private affairs superseded devotion to the general good of the polis. Increasingly, professionals, rather than ordinary citizens, administered the tasks of government, and mercenaries began to replace citizen soldiers.

In the fourth century, the quarrelsome city-states formed new systems of alliances and persisted in their ruinous conflicts. While the Greek cities battled one another in fratricidal warfare, a new power was rising in the north—Macedonia. To the Greeks, the Macedonians, a wild mountain people who spoke a Greek dialect and had acquired a sprinkling of Hellenic culture, differed little from other non-Greeks, whom they called barbarians. In 359 B.C., at the age of twenty-three, Philip II (382–336 B.C.) ascended the Macedonian throne. Converting Macedonia into a first-rate military power, he began a drive to become master of the Greeks.

Incorrectly assessing Philip’s strength, the Greeks were slow to organize a coalition against Macedonia. In 338 B.C., at Chaeronea, Philip’s forces decisively defeated the Greeks, and all of Greece was his. The city-states still existed, but they had lost their independence. The world of the small, independent, and self-sufficient polis was drawing to a close, and Greek civilization was taking a different shape.

The Dilemma of Greek Politics

Philip’s conquest of the city-states points to fundamental weaknesses of Greek politics. Despite internal crisis and persistent warfare, the Greeks were unable to fashion any other political framework than the polis. The city-state was fast becoming an anachronism, but the Greeks were unable to see that, in a world moving toward larger states and empires, the small city-state could not compete. An unallied city-state, with its small citizen army, could not withstand the powerful military machine that Philip had created. A challenge confronted the city-states: the need to shape some form of political union, a Pan-Hellenic federation, that would end the suicidal internecine warfare, promote economic well-being, and protect the Greek world from hostile states. Because they could not respond creatively to this challenge, the city-states ultimately lost their independence to foreign conquerors.

The waning of civic responsibility among the citizens was another reason for the decline of the city-states. The vitality of the city-state depended on the willingness of its citizens to put aside private concerns for the good of the community. However, although Athens had recovered commercially from the Peloponnesian War, its citizens had suffered a permanent change in character; the abiding devotion to the polis, which had distinguished the Age of Pericles, greatly diminished during the fourth century. The factional strife, the degeneration of politics into personal ambition, the demagoguery, and the fanaticism that Thucydides (see page 61) had described persisted into the fourth century and were aggravated by the economic discontent of the poor. The Periclean ideal of citizenship dissipated as Greeks neglected the community to concentrate on private affairs or sought to derive personal profit from public office. The decline in civic responsibility could be seen in the hiring of mercenaries to replace citizen soldiers and in the indifference and hesitancy with which Athenians confronted Philip. The Greeks did not respond to the Macedonian threat as they had earlier rallied to fight off the Persian menace because the quality of citizenship had deteriorated.

Greek political life demonstrated the best and worst features of freedom. On the one hand, as Pericles boasted, freedom encouraged active citizenship, reasoned debate, and government by law. On the other, as Thucydides lamented, freedom could degenerate into factionalism, demagoguery, unbridled self-interest, and civil war. Because monarchy deprives people of freedom and self-rule, the Greeks regarded monarchy as a form of government appropriate for uncivilized barbarians. But their political experience showed that free men in a democracy are susceptible to demagogues, will base political decisions on keyed-up emotions rather than on cool reasoning, and are capable of behaving brutally toward political opponents. Moreover, Greek democracy, which valued freedom, was unable to overcome a weakness that has afflicted despotic governments: an incautious attitude toward power that causes the state to overreach itself. Such an attitude demonstrated the self-destructive hubris that Greek moralists warned
The Athenians, who saw no conflict between imperialism and democracy, considered it natural for stronger states to dominate weaker ones, an attitude that helped to precipitate the destructive Peloponnesian War. A particularly egregious example of this outlook occurred during that war when Athenians decided to invade the island of Melos despite the assurances of the Melians that they represented no threat to Athens. As reported by Thucydides, the Athenian envoys told the Melians that “the strong do what they have the power to do, and the weak accept what they have to accept.” When the Melians resisted, the Athenians slaughtered the men, enslaved the women and children, and colonized the territory.

Greek politics also revealed both the capabilities and the limitations of reason. Originally, the polis was conceived as a divine institution, in which the citizen had a religious obligation to obey the law. As the rational and secular outlook became more pervasive, the gods lost their authority. When people no longer regarded law as an expression of sacred traditions ordained by the gods but saw it as a merely human contrivance, respect for the law diminished, weakening the foundations of the society. The results were party conflicts, politicians who scrambled for personal power, and moral uncertainty. Recognizing the danger, conservatives insisted that law must again be conceived as issuing from the gods and the city must again treat its ancient traditions with reverence. Although the Greeks originated the lofty ideal that human beings could regulate their political life according to reason, their history, marred by intercity warfare and internal violence, demonstrates the extreme difficulties involved in creating and maintaining a rational society.

**Philosophy in the Hellenic Age**

The Greeks broke with the mythopoeic outlook of the Near East and conceived a new way of viewing nature and human society that is the basis of the Western scientific and philosophical tradition. By the fifth century B.C., the Greeks had emancipated thought from myth and gradually applied reason to the physical world and to all human activities. This emphasis on reason marks a turning point for human civilization.

The development of rational thought in Greece was a process, a trend, not a finished achievement. The process began when some thinkers rejected mythical explanations for natural phenomena. The nonphilosophical majority of the people never entirely eliminated the language, attitudes, and beliefs of myth from their life and thought. For them, the world remained controlled by divine forces, which were appeased through cultic practices. Even in the mature philosophy of Plato and Aristotle, mythical modes of thought persisted. What is of immense historical importance, however, is not the degree to which the Greeks successfully integrated the norm of reason, but the fact that they originated this norm, defined it, and consciously applied it to intellectual concerns and social and political life.

The first theoretical philosophers in human history emerged in the sixth century B.C., in the Greek cities of Ionia in Asia Minor. Curious about the essential composition of nature and dissatisfied with earlier creation legends, the Ionians sought physical, rather than mythic-religious, explanations for natural occurrences. In the process, they arrived at a new concept of nature and a new method of inquiry. They maintained that nature was not manipulated by arbitrary and willful gods, nor was it governed by blind chance. The Ionians said that there is an intelligible pattern to nature; that nature contains a hidden structure—principles of order or general laws—that govern phenomena; and that these fundamental rules were ascertainable by the human mind. They implied that the origin, composition, and structure of the world can be investigated rationally and systematically. Thus, in seeking to account for rainbows, earthquakes, and eclipses, the Ionians posited entirely naturalistic explanations that relied on observation, had an awareness of cause and effect, and excluded the gods. This new outlook marks the beginning of scientific thought.

What conditions enabled the Greeks to make this breakthrough? Perhaps their familiarity with Near Eastern achievements in mathematics and science stimulated their ideas. But this influence should not be exaggerated, says Greek scholar John N. Theodorakopoulos, for Egyptians and
Mesopotamians “had only mythological systems of belief and a knowledge of practical matters. They did not possess those pure and crystal-clear products of the intellect which we call science and philosophy. Nor did they have any terminology to describe them.” Rooted in mythological thinking, the ancient Near East experienced no eruption of theorizing about nature in pristine philosophical and scientific terms as Greece did beginning in the sixth century B.C.

One can only speculate about why the Greeks achieved this breakthrough. Perhaps the poets’ conception of human behavior as subject to universal destiny was extended into the philosophers’ belief that nature was governed by law. Perhaps the breakthrough was fostered by the Greeks’ freedom from a priesthood and rigid religious doctrines that limit thought. Or perhaps Greek speculative thought was an offspring of the city, because if law governed human affairs, providing balance and order, should not the universe also be regulated by principles of order?

The Cosmologists: A Rational Inquiry into Nature

The first Ionian philosophers are called cosmologists because they sought to discover the underlying principles of the universe: how nature came to be the way it was. They held that some single, eternal, and imperishable substance, which underwent various modifications, gave rise to all phenomena in nature.

Ionian philosophy began with Thales (c. 624–548 B.C.) of Miletus, a city in Ionia. He was a contemporary of Solon of Athens. Concerned with understanding the order of nature, Thales said that water was the basic element, the underlying substratum of nature, and that through some natural process—similar to the formation of ice or steam—water gave rise to everything else in the world.

Thales revolutionized thought because he omitted the gods from his account of the origins of nature and searched for a natural explanation of how all things came to be. Thales also broke with the commonly held belief that earthquakes were caused by Poseidon, god of the sea, and offered instead a naturalistic explanation for these disturbances: that the earth floated on water, and when the water experienced turbulent waves, the earth was rocked by earthquakes.

Anaximander (c. 611–547 B.C.), another sixth-century Ionian, rejected Thales’ theory that water was the original substance. He rejected any specific substance and suggested that an indefinite substance, which he called the Boundless, was the source of all things. He believed that from this primary mass, which contained the powers of heat and cold, there gradually emerged a nucleus, the seed of the world. He said that the cold and wet condensed to form the earth and its cloud cover, while the hot and dry formed the rings of fire that we see as the moon, the sun, and the stars. The heat from the fire in the sky dried the earth and shrank the seas. From the warm slime on earth arose life, and from the first sea creatures there evolved land animals, including human beings. Anaximander’s account of the origins of the universe and nature understandably contained fantastic elements. Nevertheless, by offering a natural explanation for the origin of nature and life and by holding that nature was lawful, it surpassed the creation myths.

Like his fellow Ionians, Anaximenes, who died about 525 B.c., made the transition from myth to reason. He maintained that a primary substance, air, underlay reality and accounted for the orderliness of nature. Air that was rarefied became fire, whereas wind and clouds were formed from condensed air. If the process of condensation continued, it produced water, earth, and eventually stones. Anaximenes also rejected the old belief that a rainbow was the goddess Iris; instead, he said that the rainbow was caused by the sun’s rays falling on dense air.

The Ionians have been called “matter philosophers” because they held that everything issued from a particular material substance. Other thinkers of the sixth century B.C. tried a different approach. Pythagoras (c. 580–507 B.C.) and his followers, who lived in the Greek cities in southern Italy, did not find the nature of things in a particular substance, but rather in mathematical relationships. The Pythagoreans discovered that the intervals in the musical scale can be expressed mathematically. Extending this principle of proportion found in sound to the universe at large, they concluded that the cosmos also contained an inherent mathematical order and harmony. Thus, the Pythagoreans shifted the emphasis from matter to form, from the world of sense perception to the logic of mathematics. The
Pythagoreans were also religious mystics who believed in the immortality and transmigration of souls. Consequently, they refused to eat animal flesh, fearing that it contained former human souls.

Parmenides (c. 515–450 B.C.), a native of the Greek city of Elea in southern Italy, argued that the fundamental view of the Ionians that the universe underwent change and development over time was utterly mistaken. In developing his position, Parmenides applied to philosophical argument the logic used by the Pythagoreans in mathematical thinking. In putting forth the proposition that an argument must be consistent and contain no contradictions, Parmenides became the founder of formal logic.

Despite appearances, asserted Parmenides, reality—the cosmos and all that is within it—is one, eternal, and unchanging. It is made known not through the senses, which are misleading, but through the mind; not through experience, but through reason. Truth is reached through abstract thought alone. Parmenides’ concept of an unchanging reality apprehended by thought alone influenced Plato and is the foundation of metaphysics.

Democritus (c. 460–370 B.C.), from the Greek mainland, renewed the Ionians’ concern with the world of matter and reaffirmed their confidence in knowledge derived from sense perception. But he also retained Parmenides’ reverence for reason. His model of the universe consisted of two fundamental realities: empty space and an infinite number of atoms. Eternal, indivisible, and imperceptible, these atoms moved in the void. All things consisted of atoms, and combinations of atoms accounted for all change in nature. In a world of colliding atoms, everything behaved according to mechanical principles.

Concepts essential to scientific thought thus emerged in embryonic form with the early Greek philosophers: natural explanations for physical occurrences (Ionians), the mathematical order of nature (Pythagoras), logical proof (Parmenides), and the mechanical structure of the universe (Democritus). By giving to nature a rational, rather than a mythical, foundation and by holding that theories should be grounded in evidence and that one should be able to defend them logically, the early Greek philosophers pushed thought in a new direction. This new approach made possible theoretical thought and the systematization of knowledge—as distinct from the mere observation and collection of data. It also allowed a critical analysis of theories, whereas myths, accepted unconditionally on faith and authority, did not promote discussion and questioning.

This systematization of knowledge extended into several areas. Greek mathematicians, for example, organized the Egyptians’ practical experience with land measurements into the logical and coherent science of geometry. They established mathematics as an ordered system based on fundamental premises and necessary connections, and they developed logical procedures for arriving at mathematical proofs. Both Babylonians and Egyptians had performed fairly complex mathematical operations, but unlike the Greeks, they made no attempt to prove underlying mathematical principles. In another area, Babylonian priests had observed the heavens for religious reasons, believing that the stars revealed the wishes of the gods. The Greeks used the data collected by the Babylonians, but not for a religious purpose; rather, they sought to discover the geometrical laws that govern the motions of heavenly bodies.

A parallel development occurred in medicine. No Near Eastern medical text explicitly attacked magical beliefs and practices. In contrast, Greek doctors associated with the medical school of Hippocrates (c. 460–c. 377 B.C.) asserted that diseases have a natural, not a supernatural, cause. The following tract on epilepsy, which was considered a sacred disease, illustrates the development of a scientific approach to medicine:

I am about to discuss the disease called “sacred.” It is not, in my opinion, any more divine or sacred than any other disease, but has a natural cause, and its supposed divine origin is due to men’s inexperience, and to their wonder at its peculiar character. Now . . . men continue to believe in its divine origin because they are at a loss to understand it . . . . My own view is that those who first attributed a sacred character to this malady were like the magicians, purifiers, charlatans, and quacks of our own day; men who claim great piety and superior knowledge. Being at a loss, and having no treatment which would help, they concealed and sheltered themselves behind superstition, and called this illness sacred, in order that their utter ignorance might not be manifest. ❖
The Sophists: A Rational Investigation of Human Culture

In their effort to understand the external world, the cosmologists had created the tools of reason. These early Greek thinkers were developing a new and profound awareness of the mind’s capacity for theoretical thinking. Equally important, they were establishing the mind’s autonomy—its ability to inquire into any subject, relying solely on its own power to think. Greek thinkers then turned away from the world of nature and attempted a rational investigation of people and society, dismissing efforts to explain the social world through inherited beliefs about the gods. The Sophists exemplified this shift in focus. They were professional teachers who wandered from city to city teaching rhetoric, grammar, poetry, gymnastics, mathematics, and music. The Sophists insisted that it was futile to speculate about the first principles of the universe, for such knowledge was beyond the grasp of the human mind. Instead, they urged that individuals improve themselves and their cities by applying reason to the tasks of citizenship and statesmanship. The Western humanist tradition owes much to the Sophists, who examined political and ethical problems, cultivated the minds of their students, and invented formal secular education.

The Sophists answered a practical need in Athens, which had been transformed into a wealthy and dynamic imperial state after the Persian Wars. Because the Sophists claimed that they could teach political arete—the skill to formulate the right laws and policies for cities and the art of eloquence and persuasion—they were sought as tutors by politically ambitious young men, especially in Athens.

The Sophists were philosophical relativists; that is, they held that no truth is universally valid. Protagoras, a fifth-century Sophist, said that “man is the measure of all things.” By this he meant that good and evil, truth and falsehood are matters of individual judgment; there are no universal standards that apply to all people at all times. Human laws and ethical beliefs have evolved according to a particular community’s needs; they are simply human contrivances and conventions, not objective truths or standards written into nature.

In applying reason critically to human affairs, the Sophists challenged the traditional religious and moral values of Athenian society. Some Sophists taught that speculation about the divine was useless; others went further and asserted that religion was just a human invention to ensure obedience to traditions and laws.

The Sophists also applied reason to law, with the same effect: the undermining of traditional authority. The laws of a given city, they asserted, did not derive from the gods; nor were they based on any objective, universal, and timeless standards of justice and good, for such standards did not exist. The more radical Sophists argued that law was merely something made by the most powerful citizens for their own benefit. This view had dangerous implications: since law rested on no higher principle than might, it need not be obeyed.

Some Sophists combined this assault on law with an attack on the ancient Athenian idea of sophrosyne—moderation and self-discipline—because it denied human instincts. Instead of moderation, they urged that people should maximize pleasure and trample underfoot those traditions that restricted them from fully expressing their desires.

In subjecting traditions to the critique of reason, the radical Sophists provoked an intellectual and spiritual crisis. Their doctrines encouraged disobedience to law, neglect of civic duty, and selfish individualism. These attitudes became widespread during and after the Peloponnesian War, dangerously weakening community bonds. Conservatives sought to restore the authority of law and a respect for moral values by renewing allegiance to those sacred traditions undermined by the Sophists.

Socrates: Shaping the Rational Individual

Socrates (c. 469–399 B.C.), one of the most extraordinary figures in the history of Western civilization, took a different approach. He attacked the Sophists’ relativism, holding that people should regulate their behavior in accordance with universal values. While he recognized that the Sophists taught skills, he felt that they had no insights into questions that really mattered: What is the purpose of life? What are the values by which man should live? How does man perfect his character?
Here the Sophists failed, said Socrates; they taught the ambitious to succeed in politics, but persuasive oratory and clever reasoning do not instruct a man in the art of living. According to Socrates, the Sophists had attacked the old system of beliefs but had not provided the individual with a constructive replacement.

Socrates’ central concern was the perfection of individual human character, the achievement of moral excellence. For Socrates, moral values did not derive from a transcendent God, as they did for the Hebrews. Individuals attained them by regulating their lives according to objective standards arrived at through rational reflection, that is, by making reason the formative, guiding, and ruling agency of the soul. For Socrates, true education meant the shaping of character according to values discovered through the active and critical use of reason.
Socrates wanted to subject all human beliefs and behavior to the scrutiny of reason and in this way remove ethics from the realm of authority, tradition, dogma, superstition, and myth. He believed that reason was the only proper guide to the most crucial problem of human existence—the question of good and evil.

**Dialectics** In urging Athenians to think rationally about the problems of human existence, Socrates offered no systematic ethical theory and no list of ethical precepts. What he did supply was a method of inquiry called *dialectics*, or logical discussion. As Socrates used it, a dialectical exchange between individuals or with oneself, a *dialogue*, was the essential source of knowledge. It forced people out of their apathy and smugness and compelled them to examine their thoughts critically; to confront illogical, inconsistent, dogmatic, and imprecise assertions; and to express their ideas in clearly defined terms.

Dialectics demonstrated that the acquisition of knowledge was a creative act. The human mind could not be coerced into knowing; it was not a passive vessel into which a teacher poured knowledge. The dialogue compelled the individual to play an active role in acquiring the ideals and values by which to live. In a dialogue, individuals became thinking participants in a search for knowledge. Through relentless cross-examination, Socrates induced the persons with whom he spoke to explain and justify their opinions rationally, for only thus did knowledge become a part of one’s being.

Dialogue implied that reason was meant to be used in relations between human beings and that they could learn from each other, help each other, teach each other, and improve each other. It implied further that the human mind could and should make rational choices. To deal rationally with oneself and others is the distinctive mark of being human.

**Condemned to Death** Socrates devoted much of his life to what he believed was his mission, prickling the conscience of uncritical and smug Athenians and persuading them to think critically about how they lived their lives. Through probing questions, he tried to stir people out of their complacency and make them realize how directionless and purposeless their lives were.

For many years, Socrates challenged Athenians without suffering harm, for Athens was generally distinguished by its freedom of speech and thought. However, in the uncertain times during and immediately after the Peloponnesian War, Socrates made enemies. When he was seventy, he was accused of corrupting the youth of the city and of not believing in the city’s gods but in other, new divinities. Underlying these accusations was the fear that Socrates was a troublemaker, a subversive who threatened the state by subjecting its ancient and sacred values to the critique of thought.

Socrates denied the charges and conducted himself with great dignity at his trial, refusing to
grovel and beg forgiveness. Instead, he defined his creed:

“If you think that a man of any worth at all ought to . . . think of anything but whether he is acting justly or unjustly, and as a good or a bad man would act, you are mistaken. . . . If you were therefore to say to me, “Socrates, we will not listen to [your accuser]. We will let you go, but on the condition that you give up this investigation of yours, and philosophy. If you are found following these pursuits again you shall die.” I say, if you offered to let me go on these terms, I should reply: . . . As long as I have breath and strength I will not give up philosophy and exhorting you and declaring the truth to every one of you whom I meet, saying, as I am accustomed, “My good friend, you are a citizen of Athens . . . are you not ashamed of caring so much for making of money and for fame and prestige, when you neither think nor care about wisdom and truth and the improvement of your soul?”

Convicted by an Athenian court, Socrates was ordered to drink poison. Had he attempted to appease the jurors, he probably would have been given a light punishment, but he would not disobey the commands of his conscience and alter his principles even under threat of death.

Socrates did not write down his philosophy and beliefs. We are able to construct a coherent account of his life and ideals largely through the works of his most important disciple, Plato.

Plato: The Rational Society

Plato (c. 429–347 B.C.) used his master’s teachings to create a comprehensive system of philosophy that embraced both the world of nature and the social world. Many of the problems discussed by Western philosophers for the past two millennia were first raised by Plato. We focus on two of his principal concerns, the theory of Ideas and the theory of the just state.

Theory of Ideas  Socrates had taught that universal standards of right and justice exist and are arrived at through thought. Building on the insights of his teacher, Plato postulated the existence of a higher world of reality, independent of the world of things that we experience every day. This higher reality, he said, is the realm of Ideas, or Forms—unchanging, eternal, absolute, and universal standards of beauty, goodness, justice, and truth.

Truth resides in this world of Forms and not in the world made known through the senses. For example, a person can never draw a perfect square, but the properties of a perfect square exist in the world of Forms. Similarly, the ordinary person derives an opinion of what beauty is only from observing beautiful things; the philosopher, aspiring to true knowledge, goes beyond what he sees and tries to grasp with his mind the Idea of beauty. The ordinary individual lacks a true conception of justice or goodness; such knowledge is available only to the philosopher, whose mind can leap from worldly particulars to an ideal world beyond space and time. Thus, true wisdom is obtained through knowledge of the Ideas and not through the imperfect reflections of the Ideas that the senses perceive.

A champion of reason, Plato aspired to study human life and arrange it according to universally valid standards. In contrast to sophistic relativism, he maintained that objective and eternal standards do exist.

The Just State  In adapting the rational legacy of Greek philosophy to politics, Plato constructed a comprehensive political theory. What the Greeks had achieved in practice—the movement away from mythic and theocratic politics—Plato accomplished on the level of thought: the fashioning of a rational model of the state.

Like Socrates, Plato attempted to resolve the problem caused by the radical Sophists: the undermining of traditional values. Socrates had tried to dispel this spiritual crisis through a moral transformation of the individual, based on reason, whereas Plato wanted the entire community to conform to rational principles. Plato said that if human beings are to live an ethical life, they must do so as citizens of a just and rational state. In an unjust state, people cannot achieve Socratic wisdom, for their souls will mirror the state’s wickedness.

Plato had experienced the ruinous Peloponnesian War and witnessed Socrates’ trial and execution. Disillusioned by the corruption of Athenian morality and democratic politics, he concluded
that under the Athenian constitution neither the morality of the individual Athenian nor the good of the state could be enhanced. He became convinced that Athens required moral and political reform founded on Socratic philosophy.

In his great dialogue *The Republic*, Plato devised an ideal state based on standards that would rescue his native Athens from the evils that had befallen it. For Plato, the just state could not be founded on tradition (for inherited attitudes did not derive from rational standards) or on the doctrine of might being right (a principle taught by radical Sophists and practiced by Athenian statesmen). A just state, in his view, had to conform to universally valid principles and aim at the moral improvement of its citizens, not at increasing its power and wealth. Such a state required leaders distinguished by their wisdom and virtue rather than by sophistic cleverness and eloquence.

Fundamental to Plato’s political theory as formulated in *The Republic* was his criticism of Athenian democracy. An aristocrat by birth and temperament, Plato believed that it was foolish to expect the common man to think intelligently about foreign policy, economics, or other vital matters of state. Yet the common man was permitted to speak in the Assembly and to vote, and he could also be selected, by lot, for executive office. A second weakness of democracy for Plato was that leaders were chosen and followed for nonessential reasons, such as persuasive speech, good looks, wealth, and family background.

A third danger of democracy was that it could degenerate into anarchy, said Plato. Intoxicated by liberty, the citizens of a democracy could lose all sense of balance, self-discipline, and respect for law: “The citizens become so sensitive that they resent the slightest application of control as intolerable tyranny, and in their resolve to have no master they end up by disregarding even the law, written or unwritten.”

As the democratic city falls into disorder, a fourth weakness of democracy will become evident. A demagogue—often a wealthy, handsome, war hero of noble birth with an ability to stir the multitude with words—will be able to gain power by promising to plunder the rich to benefit the poor. Increasingly the tyrant throws off all constraints and uses his authority to satisfy his desire for power and possessions. To retain his hold over the state, the tyrant “begins by stirring up one war after another, in order that the people may feel their need of a leader.” Because of these inherent weaknesses of democracy, Plato insisted that Athens would be governed properly only when the wisest people, the philosophers, attained power.

Plato rejected the fundamental principle of Athenian democracy: that the ordinary citizen is capable of participating sensibly in public affairs. People would not entrust the care of a sick person to just anyone, said Plato, nor would they allow a novice to guide a ship during a storm. Yet, in a democracy, amateurs were permitted to run the government and to supervise the education of the young; no wonder Athenian society was disintegrating. Plato believed that these duties should be performed only by the best people in the city, the philosophers, who would approach human problems with reason and wisdom derived from knowledge of the world of unchanging and perfect Ideas. He asserted that only these possessors of truth would be competent to rule.

Plato divided people into three groups: those who demonstrated philosophical ability should be rulers; those whose natural bent revealed exceptional courage should be soldiers; and those driven by desire, the great masses, should be producers (tradespeople, artisans, or farmers). In *The Republic*, the philosophers were selected by a rigorous system of education that was open to all children. Those not demonstrating sufficient intelligence or strength of character were to be weeded out to become workers or warriors, depending on their natural aptitudes. After many years of education and practical military and administrative experience, the philosophers were to be entrusted with political power. If they had been properly educated, the philosopher-rulers would not seek personal wealth or personal power; their only concern would be pursuing justice and serving the community. The philosophers were to be absolute rulers. Although the people would have lost their right to participate in political decisions, they would have gained a well-governed state, whose leaders, distinguished by their wisdom, integrity, and sense of responsibility, sought only the common good. Only thus, said Plato, could the individual and the community achieve well-being.
The purpose of *The Republic* was to warn Athenians that without respect for law, wise leadership, and proper education for the young, their city would continue to degenerate. Plato wanted to rescue the city-state from disintegration by re-creating the community spirit that had vitalized the polis—and he wanted to re-create it not on the basis of mere tradition but on a higher level, with philosophical knowledge. The social and political institutions of Athens, Plato thought, must be reshaped according to permanent and unalterable ideals of truth and justice, and this could be done only when power and wisdom were joined. He aimed to fashion a just individual and a just state by creating conditions that permitted reason to prevail over the appetites, self-interest, and class and party loyalties.

**Aristotle: Synthesis of Greek Thought**

Aristotle (384–322 B.C.) stands at the apex of Greek thought because he achieved a creative synthesis of the knowledge and theories of earlier thinkers. The range of Aristotle’s interests and intellect is extraordinary. He was the leading expert of his time in every field of knowledge, with the possible exception of mathematics.

Aristotle undertook the monumental task of organizing and systematizing the thought of the Pre-Socratics, Socrates, and Plato. He shared with the natural philosophers a desire to understand the physical universe; he shared with Socrates and Plato the conviction that reason was a person’s highest faculty and that the polis was the primary formative institution of Greek life.

**Critique of Plato’s Theory of Ideas** To the practical and empirically minded Aristotle, the Platonic notion of an independent and separate world of Forms beyond space and time seemed contrary to common sense. To comprehend reality, said Aristotle, one should not escape into another world. For him, Plato’s two-world philosophy suffered from too much mystery, mysticism, and poetic fancy; moreover, Plato undervalued the world of facts and objects revealed through sight, hearing, and touch, a world that was important to Aristotle. Like Plato, Aristotle desired to comprehend the essence of things and held that understanding universal principles is the ultimate aim of knowledge. But unlike Plato, he did not turn away from the world of things to obtain such knowledge. Possessing a scientist’s curiosity to understand nature, Aristotle respected knowledge obtained through the senses.

For Aristotle, the Forms were not located in a higher world outside and beyond phenomena but existed in things themselves. He said that, through human experience with such things as men, horses, and white objects, the essence of man, horse, and whiteness can be discovered through reason; the Form of Man, the Form of Horse, and the Form of Whiteness can be determined. These universals, which apply to all men, all horses, and all white things, were for both Aristotle and Plato the true objects of knowledge. For Plato, these Forms existed independently of particular objects: the Forms for men or horses or whiteness or triangles or temples existed, whether or not representations of these Ideas in the form of material objects were made known to the senses. For Aristotle, however, universal Ideas could not be determined without examination of particular things. Whereas Plato’s use of reason tended to stress otherworldliness, Aristotle brought philosophy back to earth.

By holding that certainty in knowledge comes from reason alone and not from the senses, Plato was predisposed toward mathematics and metaphysics—pure thought that transcends the world of change and material objects. By stressing the importance of knowledge acquired through the rational examination of sense experience, Aristotle favored the development of empirical sciences—physics, biology, zoology, botany, and other disciplines based on the observation and investigation of nature and the recording of data.

**Ethical Thought** Like Socrates and Plato, Aristotle believed that a knowledge of ethics was possible and that it must be based on reason, for this is what distinguishes human beings from other forms of life. In his *Nicomachean Ethics*, the good life was the examined life; it meant making intelligent decisions when confronted with specific problems. People could achieve happiness when they exercised the distinctively human trait of reasoning, when they applied their knowledge relevantly to life, and when their behavior was governed by intelligence and not by whim, tradition, or authority.
Aristotle recognized, however, that people are not entirely rational and that the passionate element in the human personality can never be eradicated or ignored. According to Aristotle, surrendering completely to desire meant descending to the level of beasts, but denying the passions and living as an ascetic was a foolish and unreasonable rejection of human nature. Aristotle maintained that by proper training, people could learn to regulate their desires. They could achieve moral well-being, or virtue, when they avoided extremes of behavior and rationally chose the way of moderation. “Nothing in excess” is the key to Aristotle’s ethics.

**Political Thought** Aristotle’s *Politics* complements his *Ethics*. To live the good life, he said, a person must do so as a member of a political community. Only the polis would provide people with an opportunity to lead a rational and moral existence, that is, to fulfill their human potential. With this assertion, Aristotle demonstrated a typically Greek attitude. Also in typically Greek fashion, Aristotle held that enhancing the good of the community is nobler and more virtuous than doing good for oneself, however worthy the act.

Like Plato, Aristotle presumed that political life could be rationally understood and intelligently directed. He emphasized the importance of the rule of law. He placed his trust in law rather than in individuals, for individuals are subject to passions. Aristotle recognized that at times laws should be altered, but he recommended great caution; otherwise, people would lose respect for law and legal procedure.

Tyranny and revolution, Aristotle said, can threaten the rule of law and the well-being of the citizen. To prevent revolution, the state must maintain “the spirit of obedience to law. . . . Men should not think it slavery to live according to the rule of the constitution, for it is their salvation.”12

Aristotle held “that the best political community is formed by citizens of the middle class [that is, those with a moderate amount of property], and that those states are likely to be well-administered in which the middle class is large and stronger if possible than the other classes [the wealthy and the poor].” Both the rich, who excel in “beauty, strength, birth, [and] wealth,” and the poor, who are “very weak or very much disgraced,” find it “difficult to follow rational principles. Of these two the one sort grow into violence and great criminals, the other into rogues and petty rascals.” The rich are unwilling “to submit to authority . . . for when they are boys, by reason of the luxury in which they are brought up, they never learn even at school, the habit of obedience.” Consequently, the wealthy “can only rule despotically.” On the other hand, the poor “are too degraded to command and must be ruled like slaves.”13 Middle-class citizens are less afflicted by envy than the poor and are more likely than the rich to view their fellow citizens as equals.

**Art**

The classical age of Greek art spans the years from the end of the Persian Wars (479 B.C.) to the death of Alexander the Great (323 B.C.). During this period, standards were established that would dominate Western art until the emergence of modern art in the late nineteenth century.

Greek art coincided with Greek achievement in all other areas. Like Greek philosophy and politics, it too applied reason to human experience and made the transition from a mythopoeic-religious world-view to a world perceived as orderly and rational. It gradually transformed the supernatural religious themes with which it was at first preoccupied into secular human themes. Classical art was representational—that is, it strove to imitate reality, to represent the objective world realistically, as it appeared to the human eye.

Artists carefully observed nature and human beings and sought to achieve an exact knowledge of human anatomy; they tried to portray accurately the body at rest and in motion. They knew when muscles should be taut or relaxed, one hip lower than the other, the torso and neck slightly twisted—in other words, they succeeded in transforming marble or bronze into a human likeness that seemed alive. Yet although it was realistic and naturalistic, Greek art was also idealistic, aspiring to a finer, more perfect representation of what was seen and depicting the essence and form of a thing more truly than the way it actually appeared. Thus, a Greek statue resembled no specific individual but revealed a flawless human form, without wrinkles, warts, scars, or other imperfections.

In achieving an accurate representation of objects and in holding that there were rules of beauty that the mind could discover, the Greek artist
employed an approach consistent with the new scientific outlook. The Greek temple, for example, is an organized unity, obeying nature’s laws of equilibrium and harmony; classical sculpture captures the basic laws that govern life in motion. Such art, based on reason, draws the mind’s attention to the clear outlines of the outer world; at the same time, it directs the mind’s attention to the mind itself, making human beings the center of an intelligible world and the masters of their own persons.

Greek artists, just like Greek philosophers, proclaimed the importance and creative capacity of the individual. They exemplified the humanist spirit that characterized all aspects of Greek culture. Classical art placed people in their natural environment, made the human form the focal point of attention, and exalted the nobility, dignity, self-assurance, and beauty of the human being.

**POETRY AND DRAMA**

Like philosophers and artists, Greek poets and dramatists gave expression to the rise of the individual and the emerging humanist values. One of the earliest and best of the Greek poets was Sappho; she lived around 600 B.C., on the island of Lesbos. Sappho established a school to teach music.
and singing to well-to-do girls and to prepare them for marriage. With great tenderness, Sappho wrote poems of friendship and love.

Pindar (c. 518–438 B.C.) was another Greek lyric poet. In his poem of praise for a victorious athlete, Pindar expressed the aristocratic view of excellence. Although life is essentially tragic—triumphs are short-lived, misfortunes are many, and ultimately death overtakes all—man must still demonstrate his worth by striving for excellence.

The high point of Greek poetry is drama, an art form that originated in Greece. In portraying the sufferings, weaknesses, and triumphs of individuals, Greek dramatists shifted attention from the gods to human beings. Greek drama evolved as a continuous striving toward humanization and individualization. Just as a Greek sculptor shaped a clear visual image of the human form, so a Greek dramatist brought the inner life of human beings, their fears and hopes, into sharp focus and tried to find the deeper meaning of human experience. Thus, both art and drama evidenced the growing self-awareness of the individual.

Drama originated in the religious festivals honoring Dionysus, the god of wine and agricultural fertility. A profound innovation in these sacred performances, which included choral songs and dances, occurred in the last part of the sixth century B.C.: Thespis, the first actor known to history, stepped out of the chorus and engaged it in dialogue. By separating himself from the choral group, Thespis demonstrated a new awareness of the individual.

With only one actor and a chorus, however, the possibilities for dramatic action and human conflicts were limited. Then Aeschylus introduced a second actor in his dramas, and Sophocles a third. Dialogue between individuals thus became possible. The Greek actors wore masks, and by changing them, each actor could play several roles in the same performance. This flexibility allowed the dramatists to depict the clash and interplay of human wills and passions on a greater scale. By the middle of the fifth century B.C., tragedies were performed regularly as civic festivals.

A development parallel to Socratic dialectics—dialogue between thinking individuals—occurred in Greek drama. By setting characters in conflict against each other, dramatists showed individuals as active subjects, responsible for their behavior and decisions.

Like the natural philosophers, Greek dramatists saw an inner logic to the universe; they called this logic Fate or Destiny. Both physical and social worlds obeyed laws. When people were stubborn, narrow-minded, arrogant, or immoderate, they were punished. The order in the universe required it, said Sophocles:

- The man who goes his way
- Overbearing in the word and deed,
- who fears no justice,
- Honors no temples of the gods—
- May an evil destiny seize him.
- And punish his ill-starred pride.¹⁴

In being free to make decisions, the dramatists said, individuals have the potential for greatness, but in choosing wrongly, unintelligently, they bring disaster to themselves and others.

Also like philosophy, Greek tragedy entailed rational reflection. Tragic heroes were not passive victims of fate. They were thinking human beings who felt a need to comprehend their position, explain the reasons for their actions, analyze their feelings, and respond to their fate with insight.

The essence of Greek tragedy lies in the tragic heroes’ struggle against cosmic forces and insurmountable obstacles, which eventually crush them. But what impressed the Greek audience (and impresses us today) was not the vulnerability or weaknesses of human beings, but their courage and determination in the face of these forces.

The three great Athenian tragedians were Aeschylus (525–456 B.C.), Sophocles (c. 496–406 B.C.), and Euripides (c. 485–406 B.C.). Aeschylus believed that the world was governed by divine justice, which could not be violated with impunity; when individuals evinced hubris (overweening pride or arrogance), which led them to overstep the bounds of moderation, they had to be punished. Another principal theme was that through suffering people acquired knowledge: the terrible consequences of sins against the divine order should remind all to think and act with moderation and caution.

Sophocles maintained that individuals should shape their character in the way a sculptor shapes a form: according to laws of proportion. In his view, when the principles of harmony were violated by immoderate behavior, a person’s character would be thrown off balance and misfortune would strike.
In Antigone, Sophocles raised a question that is timeless: should individual conscience, which is prompted by a higher law, prevail over the laws of the state when the two are in conflict?

The rationalist spirit of Greek philosophy permeated the tragedies of Euripides. Like the Sophists, Euripides subjected the problems of human life to critical analysis and challenged human conventions. His plays carefully scrutinized the role of the gods, women’s conflicts, the horrors of war, the power of passion, and the prevalence of human suffering and weakness. Euripides blended a poet’s insight with the psychologist’s probing to reveal the tangled world of human passions and souls in torment.

Greek dramatists also wrote comedies. Aristophanes (c. 448–c. 380 B.C.), the greatest of the Greek comic playwrights, lampooned Athenian statesmen and intellectuals and censured government policies. Behind Aristophanes’ sharp wit lay a deadly seriousness; he sought an end to the ruinous Peloponnesian War and a reaffirmation of traditional values, which the Sophists had undermined.

**History**

The Mesopotamians and the Egyptians kept annals that purported to narrate the deeds of gods and their human agents, the priest-kings or god-kings. These chronicles, filled with religious sayings, royal records, and boastful accounts of military campaigns, are devoid of critical analysis and interpretation. The Hebrews valued history, but, believing that God acted in human affairs, they did not remove historical events from the realm of religious-mythical thought. The Greeks initiated a different approach to the study of history. For them, history was not a narrative about the deeds of gods, as it was for the Egyptians and Mesopotamians, or the record of God’s wrath or benevolence, as it was for the Hebrews; instead, it dealt with human actions and state policies.

As the gods were eliminated from the nature philosophers’ explanations for the origins of things in the natural world, mythical elements were also removed from the writing of history. Greek historians asked themselves questions about the deeds of people, based their answers on available evidence, and wrote in prose, the language of rational thought. They not only narrated events but also examined causes.

**Herodotus**

Often called the “father of history,” Herodotus (c. 484–c. 424 B.C.) wrote a history of the Persian Wars. The central theme of this book, entitled The Histories, is the contrast between Near Eastern despotism and Greek freedom and the subsequent clash of these two world-views in the wars. Though Herodotus found much to praise in the Persian Empire, he was struck by a lack of freedom and by what he considered barbarity. He emphasized that the mentality of the free citizen was foreign to the East, where men were trained to obey the ruler’s commands absolutely. Not the rule of law but the whim of despots prevailed in the East.

Another theme evident in Herodotus’ work was punishment for hubris. In seeking to become king of both Asia and Europe, Xerxes had acted arrogantly; although he behaved as if he were superhuman, “he too was human, and was sure to be disappointed of his great expectations.” Like the Greek tragedians, Herodotus drew universal moral principles from human behavior.

In several ways, Herodotus was a historian rather than a teller of tales. First, he asked questions about the past, instead of merely repeating ancient legends; he tried to discover what had happened and the motivations behind the actions. Second, he demonstrated at times a cautious and critical attitude toward his sources of information. Third, although the gods appeared in his narrative, they played a far less important role than they did in Greek popular mythology. Nevertheless, by retaining a belief in the significance of dreams, omens, and oracles and by allowing divine intervention, Herodotus fell short of being a thoroughgoing rationalist. His writings contain the embryo of rational history. Thucydides brought it to maturity.

**Thucydides**

Thucydides (c. 460–c. 400 B.C.) also concentrated on a great political crisis confronting the Hellenic world: the Peloponnesian War. Living in Periclean Athens, whose lifeblood was politics, Thucydides
regarded the motives of statesmen and the acts of government as the essence of history. He did not just catalogue facts but sought those general concepts and principles that the facts illustrated. His history was the work of an intelligent mind trying to make sense of his times.

Thucydides applied to the sphere of political history a rationalist empiricism. He strove for factual accuracy and drew conclusions based on a critical analysis of events and motives. He searched for the truth underlying historical events and attempted to present it objectively. From the Sophists, Thucydides learned that the motives and reactions of human beings follow patterns. Therefore, a proper analysis of the events of the Peloponnnesian War would reveal general principles that govern human behavior. He intended his history to be a source of enlightenment for future ages, a possession for all time, because the kinds of behavior that caused the conflict between Sparta and Athens would recur regularly through history, for human nature is unchanging and predictable.

In Thucydides’ history, there was no place for myths, for legends, for the fabulous—all hindrances to historical truth. He recognized that a work of history was a creation of the rational mind and not an expression of the poetic imagination. The historian seeks to learn and to enlighten, not to entertain.

Rejecting the notion that the gods interfere in history, Thucydides looked for the social forces and human decisions behind events. Undoubtedly, he was influenced by Hippocratic doctors, who frowned on divine explanations for disease and distinguished between the symptoms of a disease and its causes. Where Herodotus occasionally lapsed into supernatural explanations, Thucydides wrote history in which the gods were absent, and he denied their intervention in human affairs. For Thucydides, history was the work of human beings. And the driving force in history was men’s will to power and domination.

In addition to being a historian, Thucydides was also an astute and innovative political thinker with a specific view of government, statesmen, and international relations. He warned against the dangers of extremism unleashed by the strains of war, and he believed that when reason was forsaken, the state’s plight would worsen. He had contempt for statesmen who waged war lightly, acting from impulse, reckless daring, and an insatiable appetite for territory. Although Thucydides admired Athens for its democratic institutions, rule of law, sense of civic duty, and cultural achievements, he recognized an inherent danger in democracy: the emergence of demagogues, who rise to power by stirring up the populace.

Political scientists, historians, and statesmen still turn to Thucydides for insights into the realities of power politics, the dangers of political fanaticism, the nature of imperialism, the methods of demagogues, and the effects of war on democratic politics.

**The Hellenistic Age: The Second Stage of Greek Civilization**

Greek civilization, or Hellenism, passed through three distinct stages: the Hellenic Age, the Hellenistic Age, and the Greco-Roman Age. The Hellenic Age began around 800 B.C. with the early city-states, reached its height in the fifth century B.C., and endured until the death of Alexander the Great in 323 B.C. At that time, the ancient world entered the Hellenistic Age, which ended in 30 B.C. when Egypt, the last major Hellenistic state, fell to Rome. The Greco-Roman Age lasted five hundred years, encompassing the period of the Roman Empire up to the collapse of the Empire’s western half in the last part of the fifth century A.D.

Although the Hellenistic Age absorbed the heritage of classical (Hellenic) Greece, its style of civilization changed. During the first phase of Hellenism, the polis was the center of political life. The polis gave Greeks an identity, and only within the polis could a Greek live a good and civilized life. With the coming of the Hellenistic Age, this situation changed. Kingdoms and empires eclipsed the city-state in power and importance. Even though cities retained a large measure of autonomy in domestic affairs, they lost their freedom of action in foreign affairs because they were now dominated by monarchs. Monarchy, the essential form of government in the Hellenistic world, had not been admired by the Greeks of the Hellenic Age. They had agreed with Aristotle that monarchy was suitable only for non-Greeks, who lacked the capacity to govern themselves.
Now, however, as a result of Alexander the Great’s conquests of the lands between Greece and India, tens of thousands of Greek soldiers, merchants, and administrators settled in eastern lands. Their encounters with the different peoples and cultures of the Near East widened the Greeks’ horizon and weakened their ties to their native cities. Because of these changes, the individual had to define a relationship not to the narrow, parochial society of the polis, but to the larger world. The Greeks had to examine their place in a world more complex, foreign, and threatening than the polis. They had to fashion a conception of a community that would be more comprehensive than the city-state.

Hellenistic philosophers struggled with these problems of alienation and community. They sought to give people the inner strength to endure in a world where the polis no longer provided security. In this new situation, philosophers no longer assumed that the good life was tied to the affairs of the city. Freedom from emotional stress—not active citizenship and social responsibility—was the avenue to the good life. This pronounced tendency of people to withdraw into themselves and seek release from anxiety and depression helped shape a cultural environment that contributed to the spread and triumph of Christianity in the Greco-Roman Age.

In the Hellenic Age, Greek philosophers had a limited conception of humanity, dividing the world into Greek and barbarian. In the Hellenistic Age, the intermingling of Greeks and peoples of the Near East—the fusion of different ethnic groups and cultures scattered over great distances—caused a shift in focus from the city to the oikoumene (the inhabited world); parochialism gave way to cosmopolitanism and universalism as people began to think of themselves as members of a world community. Philosophers came to regard the civilized world as one city, the city of humanity. This new concept was their response to the decline of the city-state and the quest for an alternative form of community.
By uniting the diverse nationalities of the Mediterranean world under one rule, Rome gave political expression to the Hellenistic philosophers’ longing for a world community. But the vast and impersonal Roman Empire could not rekindle the sense of belonging, the certainty of identity, that came with being a citizen of a small polis. In time, a resurgence of the religious spirit, particularly in the form of Christianity, helped to overcome the feeling of alienation by offering an image of community that stirred the heart.

Alexander the Great

After the assassination of Philip of Macedon in 336 B.C., his twenty-year-old son, Alexander, succeeded to the throne. Alexander inherited a proud and fiery temperament from his mother. From his tutor Aristotle, Alexander gained an appreciation for Greek culture, particularly the Homeric epics. Undoubtedly, the young Alexander was stirred by these stories of legendary heroes, especially Achilles, and their striving for personal glory. He also acquired military skills and qualities of leadership from his father.

Alexander inherited from Philip an overriding policy of state: the invasion of Persia. With an army of thirty-five thousand men, Macedonians and Greeks combined, he crossed into Asia Minor in 334 B.C. and eventually advanced all the way to India. In these campaigns, Alexander proved himself to be a superb strategist and leader of men. Winning every battle, his army carved an empire that stretched from Greece to India.

The world after Alexander differed sharply from the one that existed before he took up the sword. Alexander’s conquests brought West and East closer together, marking a new epoch. Alexander himself helped to implement this transformation, whether intentionally or unwittingly. He took a Persian bride, arranged for eighty of his officers and ten thousand of his soldiers to marry Near Eastern women, and planned to incorporate thirty thousand Persian youths into his army. Alexander founded Greek-style cities in Asia, where Greek settlers mixed with the native population.

As Greeks acquired greater knowledge of the Near East, the parochialism of the polis gave way to a world outlook. As trade and travel between West and East expanded, as Greek merchants and soldiers settled in Asiatic lands, and as Greek culture spread to non-Greeks, the distinctions between barbarian and Greek lessened. Although Alexander never united all the peoples in a world-state, his career pushed the world in a new direction, toward a fusion of disparate peoples and the intermingling of cultural traditions.

The Competing Dynasties

In 323 B.C., Alexander, not yet thirty-three years old, died after a sickness that followed a drinking party. After his premature death, his generals engaged in a long and bitter struggle to see who would succeed the conqueror. Since none of the generals or their heirs had enough power to hold together Alexander’s vast empire, the wars of succession ended in a stalemate. By 275 B.C., the empire was fractured into three dynasties: the Ptolemies in Egypt, the Seleucids in Asia, and the Antigonids in Macedonia. Macedonia, Alexander’s native country, continued to dominate the Greek cities, which periodically tried to break its hold. Later, the kingdom of Pergamum in Western Asia Minor emerged as the fourth Hellenistic monarchy.

In the third century B.C., Ptolemaic Egypt was the foremost power in the Hellenistic world. The Seleucid Empire, which stretched from the Mediterranean to the frontiers of India and encompassed many different peoples, attempted to extend its power in the west but was resisted by the Ptolemies. Finally, the Seleucid ruler Antiochus III (223-187 B.C.) defeated the Ptolemaic forces and established Seleucid control over Phoenicia and Palestine. Taking advantage of Egypt’s defeat, Macedonia seized several of Egypt’s territories.

Rome, a new power, became increasingly drawn into the affairs of the quarrelsome Hellenistic kingdoms. By the middle of the second century B.C., it had imposed its will upon them. From that time on, the political fortunes of the western and eastern Mediterranean were inextricably linked.

Cosmopolitanism

Hellenistic society was characterized by a mingling of peoples and an interchange of cultures. Greek traditions spread to the Near East, and
Mesopotamian, Egyptian, Hebrew, and Persian traditions—particularly religious beliefs—moved westward. A growing cosmopolitanism replaced the parochialism of the city-state. Although the rulers of the Hellenistic kingdoms were Macedonians and their high officials and generals were Greeks, the style of government was modeled after that of the ancient oriental kingdoms. In the Hellenic Age, the law had expressed the will of the community, but in this new age of monarchy, the kings were the law. To promote loyalty, the Macedonian rulers encouraged the oriental cultic practice of worshiping the king as a god or as a representative of the gods. In Egypt, for example, the priests conferred on the Macedonian king the same divine powers and titles traditionally held by Egyptian pharaohs; in accordance with ancient tradition, statues of the divine king were installed in Egyptian temples, suffusing political power with supernatural authority, in marked contrast to the democratic spirit of the Greek Assembly.

Following Alexander’s lead, the Seleucids founded cities in the East patterned after the city-states of Greece. The cities, which were often founded to protect trade routes and as fortresses against hostile tribes, adopted the political institutions of Hellenic Greece, including a popular assembly and a council. Hellenistic kings generally did not intervene in the cities’ local affairs. Thousands of Greeks settled in these cities, which were Greek in architecture and contained Greek schools, temples, theaters (where performances of classical plays were staged), and gymnasia. Gymnasia were essentially places to exercise, train in sports, and converse, but some had libraries and halls where public lectures and competitions of orators and poets were held. Hellenistic
kings brought books, paintings, and statues from Greece to their cities. Hellenistic cities, inhabited by tens of thousands of people from many lands and dominated by a Hellenized upper class, served as centers and agents of Hellenism, which non-Greeks adopted. The cities in Egypt and Syria saw the emergence of a native elite who spoke Greek, wore Greek-style clothing, and adopted Greek customs. Koine (or shared language), a form of spoken Greek spread by soldiers, administrators, merchants, teachers, and others, became a common tongue throughout much of the Mediterranean world.

The greatest city of the time and the one most representative of the Hellenistic Age was Alexandria in Egypt, founded by Alexander the Great. Strategically located at one of the mouths of the Nile, Alexandria became a center of commerce and culture. The most populous city of the Mediterranean world, Alexandria at the beginning of the Christian era contained perhaps a million people: Egyptians, Persians, Macedonians, Greeks, Jews, Syrians, and Arabs. The city was an unrivaled commercial center; goods from the Mediterranean world, East Africa, Arabia, and India circulated in its market-places. This cosmopolitan center also attracted poets, philosophers, physicians, astronomers, and mathematicians.

All phases of cultural life were permeated by cultural exchange. Sculpture showed the influence of many lands. Historians wrote world histories, not just local ones. Greek astronomers worked with data collected over the centuries by the Babylonians. The Hebrew Scriptures were translated into Greek for use by Greek-speaking Jews, and some Jewish thinkers, admiring Greek learning, expressed Jewish religious ideas in philosophical terms: God was identified with reason and Moses’ Law with the rational order of the universe. Greeks increasingly demonstrated a fascination with oriental religious cults. Philosophers helped to break down the barriers between peoples by asserting that all inhabit a single fatherland.

The spread of Greek civilization from the Aegean to the Indus River gave the Hellenistic world a cultural common denominator, but Hellenization did not transform the East and make it one with the West. Hellenization was limited almost entirely to the cities, and in many urban centers it was often only a thin veneer. Many Egyptians in Alexandria learned Greek, and some assumed Greek names, but for most, Hellenization did not go much deeper. In the countryside, there was not even the veneer of Greek culture. Retaining traditional attitudes, the countryside in the East resisted Greek ways. In the villages, local and traditional law, local languages, and family customs remained unchanged; religion, the most important ingredient of the civilizations of the Near East, also kept its traditional character.

**Hellenistic Thought and Culture**

Hellenistic culture rested on a Hellenic foundation, but it also revealed new trends: a heightened universalism and a growing individualism.

**History**

The leading historian of the Hellenistic Age was Polybius (c. 200–118 B.C.), whose history of the rise of Rome is one of the great works of historical literature. Reflecting the universal tendencies of the Hellenistic Age, Polybius endeavored to explain how Rome had progressed from a city-state to a world conqueror. As a disciple of Thucydides, Polybius sought rational explanations for human events. Like Thucydides, he relied on eyewitness accounts (including his own personal experiences), checked sources, and strove for objectivity.

**Art**

Hellenistic art, like Hellenistic philosophy, expressed a heightened awareness of the individual. Whereas Hellenic sculpture aimed to depict ideal beauty—the perfect body and face—Hellenistic sculpture, moving from idealism to realism, captured individual character and expression, often of ordinary people. Scenes of daily life were realistically depicted.

**Science**

During the Hellenistic Age, Greek scientific achievement reached its height. When Alexander invaded
Asia Minor, the former student of Aristotle brought along surveyors, engineers, scientists, and historians, who continued with him into Asia. The vast amount of data on botany, zoology, geography, and astronomy collected by Alexander’s staff stimulated an outburst of activity. Hellenistic science, says historian Benjamin Farrington, stood “on the threshold of the modern world. When modern science began in the sixteenth century, it took up where the Greeks left off.”

Because of its state-supported museum, Alexandria attracted leading scholars and superseded Athens in scientific investigation. The museum contained a library of more than half a million volumes, as well as botanical gardens and an observatory. It was really a research institute, in which some of the best minds of the day studied and worked.

Alexandrian doctors advanced medical skills. They improved surgical instruments and techniques and, by dissecting bodies, added to anatomical knowledge. Through their research, they discovered organs of the body not known until then, made the distinction between arteries and veins, divided nerves into those constituting the motor and the sensory systems, and identified the brain as the source of intelligence. Their investigations brought knowledge of anatomy and physiology to a level that was not significantly improved until the sixteenth century A.D.

Knowledge in the fields of astronomy and mathematics also increased. Eighteen centuries before Copernicus, the Alexandrian astronomer Aristarchus (310–230 B.C.) said that the sun was the center of the universe, that the planets revolved around it, and that the stars were situated at great distances from the earth. But these revolutionary ideas were not accepted, and the belief in an earth-centered universe persisted. In geometry, Euclid, an Alexandrian mathematician who lived around 300 B.C., creatively synthesized earlier developments. Euclid’s hundreds of geometric proofs, derived from reasoning alone—his conclusions flowed logically and flawlessly from given assumptions—are a profound witness to the power of the rational mind.

Eratosthenes (c. 275–194 B.C.), an Alexandrian geographer, sought a scientific understanding of the enlarged world. He divided the planet into climatic zones, declared that the oceans are joined, and, with extraordinary ingenuity and accuracy, measured the earth’s circumference. Archimedes of Syracuse (287–212 B.C.), who studied at Alexandria, was a mathematician, a physicist, and an ingenious inventor. His mechanical inventions, including war engines, dazzled his contemporaries. However, in typically Greek fashion, Archimedes dismissed his practical inventions, preferring to be remembered as a theoretician.
Philosophy

Hellenistic thinkers preserved the rational tradition of Greek philosophy, but they also transformed it, for they had to adapt their thought to the requirements of a cosmopolitan society. In the Hellenic Age, the starting point of philosophy was the citizen’s relationship to the city; in the Hellenistic Age, the point of departure was the solitary individual’s relationship to humanity, the individual’s destiny in a complex world. Philosophy tried to deal with the feeling of alienation—of not belonging—resulting from the weakening of the individual’s attachment to the polis and sought a conception of community that corresponded to the social realities of a world grown larger. It aspired to make people ethically independent so that they could achieve happiness in a hostile and competitive world. As the philosopher Epicurus said: “Empty are the words of that philosopher who offers no therapy for human suffering. For just as there is no use in medical expertise if it does not give therapy for bodily disease, so too there is no use in philosophy if it does not expel the suffering of the soul.”17 To “expel the suffering of the soul”—to conquer fear and anxiety and to achieve happiness—said Hellenistic philosophers, people must not allow themselves to be troubled by cares and concerns that are ultimately trivial. In striving for tranquillity of mind and relief from conflict, Hellenistic thinkers reflected the general anxiety that pervaded their society.

Epicureanism  Two principal schools of philosophy arose in the Hellenistic world: Epicureanism and Stoicism. In the tradition of Plato and Aristotle, Epicurus (342–270 B.C.) founded a school in Athens at the end of the fourth century B.C. Epicurus broke with the attitude of the Hellenic Age in significant ways. Unlike classical Greek philosophers, Epicurus, reflecting the Greeks’ changing relationship to the city, taught the value of passivity and withdrawal from civic life. To him, citizenship was not a prerequisite for individual happiness. Wise persons, said Epicurus, would refrain from engaging in public affairs, for politics is marred by clashing factions and treachery that could deprive them of their self-sufficiency, their freedom to choose and to act. Nor would wise individuals pursue wealth, power, or fame, as the pursuit would only provoke anxiety. For the same reason, wise persons would not surrender to hate or love, desires that distress the soul. They would also try to live justly, because those who behave unjustly are burdened with troubles. Nor could people find happiness if they worried about dying or pleasing the gods.

To Epicurus, dread that the gods punished people in this life and could inflict suffering after death was the principal cause of anxiety. To remove this source of human anguish, he favored a theory of nature that had no place for supernatural intervention in nature or in people’s lives. Therefore, he adopted the physics of Democritus, which taught that all things consist of atoms in motion. In a universe of colliding atoms, there could be no higher intelligence ordering things; there was no room for divine activity. Epicurus taught that the gods probably did exist, but that they did not influence human affairs; consequently, individuals could order their own lives.

People could achieve happiness, said Epicurus, when their bodies were “free from pain” and their minds “released from worry and fear.” Although Epicurus wanted to increase pleasure for the individual, he rejected unbridled hedonism. Because he believed that happiness must be pursued rationally, he urged avoidance of the merely sensuous pleasures that have unpleasant aftereffects (such as overeating and excessive drinking). In general, Epicurus espoused the traditional Greek view of moderation and prudence. By opening his philosophy to men and women, slave and free, Greek and barbarian, and by separating ethics from politics, Epicurus fashioned a philosophy adapted to the post-Alexandrian world of kingdoms and universal culture.

Stoicism  Around the time when Epicurus founded his school, Zeno (335–263 B.C.) also opened a school in Athens. Zeno’s teachings, called Stoicism (because his school was located in the stoa, or colonnade), became the most important philosophy in the Hellenistic world. Epicurus backed away from civic participation and political life as snares that deprived the individual of self-sufficiency. Stoics, however, developed a new formula for the individual’s membership in a political community. By teaching that the world constituted a single society, Stoicism gave theoretical expression to the world-mindedness of the age. Through its concept of a world-state, the city of humanity, Stoicism offered an answer to the problem of community and alienation posed by the decline of the city-state. By stressing inner strength in dealing with life’s misfortunes, it
Geographical knowledge expanded enormously among the Hellenistic Greeks. The first systematic scientific books on geography were credited to Eratosthenes, head of the Alexandrian Library, the greatest scientific and humanistic research center in the Hellenistic world. Eratosthenes estimated the circumference of the earth with remarkable accuracy for his time. His map illustrates the limits of the world known to the Greeks. (From John Onians, Art and Thought in the Hellenistic Age [Thames and Hudson, 1979]. Reprinted by permission of Thames and Hudson Ltd.)

opened an avenue to individual happiness in a world fraught with uncertainty.

At the core of Stoicism was the belief that built into the universe was a principle of order, variously called Divine Reason (Logos), the Divine Fire, God—more an impersonal force than a living being. This ruling principle underlay reality and permeated all things; it ordered the cosmos according to law. The Stoics reasoned that, being part of the universe, people too shared in the Logos that operated throughout the cosmos. Inherent in every human soul, and discovered through reason, the Logos enabled people to act virtuously and intelligently and to comprehend the principles of order that governed nature. This natural law provided human beings with an awareness of what is and is not correct behavior, especially when dealing with other human beings. The virtuous person lived in accordance with natural law, which was the avenue to both virtue and happiness. Natural law alone commanded ultimate obedience. Because reason was common to all, human beings were essentially brothers and fundamentally equal. Reason gave individuals dignity and enabled them to recognize and respect the dignity of others. To the Stoics, all people—Greek and barbarian, free and slave, rich and poor—were fellow human beings, and one law, the law of nature, applied to everyone. What people had in common as fellow human beings far outweighed differences based on culture. Thus, the Stoics, like the Hebrews, arrived at the idea of the oneness of humanity.

Like Socrates, the Stoics believed that a person’s distinctive quality was the ability to reason and that happiness came from the disciplining of emotions by the rational part of the soul. Also like Socrates, the Stoics maintained that individuals should progress morally, should perfect their character. In the Stoic view, wise persons ordered their lives according to the natural law, the law of reason, that underlay the cosmos. This harmony with the Logos would give them the inner strength to resist the torments inflicted by others, by fate, and by their own passionate natures. Self-mastery and inner peace, or happiness, would follow. Such individuals would
remain undisturbed by life’s misfortunes, for their souls would be their own. Even slaves were not denied this inner freedom; although their bodies were subjected to the power of their masters, their minds still remained independent and free.

Stoicism had an enduring influence on the Western mind. To some Roman political and legal thinkers, the Empire fulfilled the Stoic ideal of a world community, in which people of different nationalities held citizenship and were governed by a worldwide law that accorded with the law of reason, or natural law, operating throughout the universe. Stoic beliefs—that by nature we are all members of one family, that each person is significant, that distinctions of rank and race are of no account, and that human law should not conflict with natural law—were incorporated into Roman jurisprudence, Christian thought, and modern liberalism. There is continuity between the Stoic idea of natural law—a moral order that underlies nature—and the principle of inalienable rights stated in the American Declaration of Independence. In the modern age, the principle of natural law provided theoretical justification for the idea of human rights as the birthright of each individual.

**The Greek Achievement:**

**Reason, Freedom, Humanism**

Like other ancient peoples, the Greeks warred, massacred, and enslaved; they could be cruel, arrogant, contentious, and superstitious; and they often violated their own ideals. But their achievement was unquestionably of profound historical significance. Western thought essentially begins with the Greeks, who first defined the individual by the capacity to reason. It was the great achievement of the Greek spirit to rise above magic, miracles, mystery, authority, and custom and to discover the procedures and terminology that permit a rational understanding of nature and society. Every aspect of Greek civilization—science, philosophy, art, drama, literature, politics, historical writing—showed a growing reliance on human reason and a diminishing dependence on the gods and mythical thinking.

In Mesopotamia and Egypt, people had no clear conception of their individual worth and no understanding of political liberty. They were not citizens, but subjects marching to the command of a ruler whose power originated with the gods. Such royal power was not imposed on an unwilling population; it was religiously accepted and obeyed.

In contrast, the Greeks created both civic politics and political freedom. They saw the state as a community of free citizens who made laws that served the common good and disputes between citizens were decided by a jury of one’s peers, not by the whims of a ruler or his officials. The citizens had no master other than themselves. The Greeks held that men are capable of governing themselves, and they regarded active participation in public affairs as a duty. For the Greeks, the state was a civilizing agent, permitting people to live the good life. Greek political thinkers arrived at a conception of the rational, or legal, state: a state in which law was an expression of reason, not of whim or divine commands; of justice, not of might; of the general good of the community, not of self-interest.

The Greeks also gave to Western civilization a conception of inner, or ethical, freedom. People were free to choose between shame and honor, cowardice and duty, moderation and excess. The heroes of Greek tragedy suffered not because they were puppets being manipulated by higher powers, but because they possessed the freedom of decision. The idea of ethical freedom reached its highest point with Socrates, who shifted the focus of thought from cosmology to the human being and the moral life. To shape oneself according to ideals known to the mind—to develop into an autonomous and self-directed person—became for the Greeks the highest form of freedom.

During the Hellenistic Age, the Greeks, like the Hebrews earlier, arrived at the idea of universalism, the oneness of humanity. Stoic philosophers taught that all people, because of their ability to reason, are fundamentally alike and can be governed by the same laws. This idea is at the root of the modern principle of natural, or human rights, which are the birthright of each individual.

Underlying everything accomplished by the Greeks was a humanist attitude toward life. The Greeks expressed a belief in the worth, significance, and dignity of the individual. They called for the maximum cultivation of human talent, the full development of human personality, and the deliberate pursuit of excellence. In valuing the human personality, the Greek humanists did not approve of living without restraints; they aimed at creating a higher type of man. Such a man would mold himself according to worthy standards and make his life as...
harmonious and flawless as a work of art. This aspiration required effort, discipline, and intelligence. Despite their lauding of the human being’s creative capacities, the Greeks were not naive about human nature. Rather, intensely aware of the individual’s inherent capacity for evil, Greek thinkers repeatedly warned that without the restraining forces of law, civic institutions, moral norms, and character training, society would be torn apart by the savage elements within human nature. But fundamental to the Greek humanist outlook was the belief that human beings could master themselves. Although people could not alter the course of nature, for there was an order to the universe over which neither they nor the gods had control, the humanist believed that people could control their own lives. Contemporary humanists continue to derive inspiration and guidelines from the literary, artistic, and philosophical creations of the ancient Greeks.

By discovering theoretical reason, by defining political freedom, and by affirming the worth and potential of human personality, the Greeks broke with the past and founded the rational and humanist tradition of the West. “Had Greek civilization never existed,” says the poet W. H. Auden, “we would never have become fully conscious, which is to say that we would never have become, for better or worse, fully human.”18
NOTES

11. Ibid., p. 293.
13. Ibid., pp. 1220–1221.

SUGGESTED READING

Hooper, Finley, Greek Realities (1978). A literate and sensitive presentation of Greek society and culture.
Jaeger, Werner, Paideia: The Ideals of Greek Culture (1939–1944). A three-volume work on Greek culture by a distinguished classicist. The treatment of Homer, the early Greek philosophers, and the Sophists in volume 1 is masterful.
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